

Gates & Cooper *LLP*

Howard Hughes Center
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TO: Commissioner for Patents
Attn: Examiner Don Kitsun Wong
Patent Examining Corps
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Alexandria, VA 22313-1450

FROM: George H. Gates
OUR REF.: G&C 122.27-US-U1
TELEPHONE: (310) 642-4146

Total pages, including cover letter: 18

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Title of Document Transmitted:	INFORMATION DISCLOSURE STATEMENT AND FORM 1449, COPIES OF REFERENCES
Applicant:	Torsten Wipiejewski et al.
Serial No.:	10/646,288
Filed:	August 22, 2003
Group Art Unit:	2821
Title:	CHIRP CONTROL OF INTEGRATED LASER-MODULATORS HAVING MULTIPLE SECTIONS
Our Ref. No.:	G&C 122.27-US-U1

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP.

By: George H. Gates

Name: George H. Gates
Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Adriane M. Baird
Signature

10/20/04
Date

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T-443 P.002 F-598

OCT 20 2004

Due Date: October 22, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Torsten Wipiejewski et al. Examiner: Don Kitsun Wong
Serial No.: 10/646,288 Group Art Unit: 2821
Filed: August 22, 2003 Docket: G&C 122.27-US-U1
Title: **CHIRP CONTROL OF INTEGRATED LASER-MODULATORS HAVING MULTIPLE SECTIONS**

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

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By: [Signature]
Name: George H. Gates

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- ☒ Information Disclosure Statement and Form PTO-1449 and copies of references (if required)

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

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Customer Number 22462
GATES & COOPER LLP
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6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
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By: FH 13
Name: George H. Gates

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By: 

Name: George H. Gates

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign patent document and each non-patent document listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to

establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: October 20, 2004

GHG/amb

By: 

George H. Gates
Reg. No.: 33,500

Date FAXED: October 20, 2004

Sheet 1 of 1

Form 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION	Docket Number: G&C 122-27-US-U1	Application Number: 10/646,288
	Applicant: Torsten Wipiejowski et al.	
	Filing Date: August 22, 2003	Group Art Unit: 2828

U.S. PATENT DOCUMENTS (COPIES NOT REQUIRED)						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,320,688	11/20/01	Westbrook et al.			
	6,574,259	06/03/03	Fish et al.			
	6,614,819	09/02/03	Fish et al.			
	6,628,690	09/30/03	Fish et al.			
FOREIGN PATENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
NON-PATENT DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) (COPIES PROVIDED)						
		Lucent / Agere Application Note TN00008 on electro-absorption modulators, May 20000, (EML), pp 1-8.				
		Skogen et al., "Tunable Buried Ridge Stripe Sampled Grating Distributed Bragg Reflector Lasers Utilizing Quantum Well Intermixing," 2001, presented at the IEEE LEOS annual meeting, pp 169-170.				

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

G&C 122-27-US-U1